

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

HARRINGTON GLOBAL  
OPPORTUNITY FUND, LIMITED,

Plaintiff,

v.

CIBC WORLD MARKETS CORP.;  
CIBC WORLD MARKETS INC.;  
BOFA SECURITIES, INC.; MERRILL  
LYNCH CANADA INC.; MERRILL  
LYNCH PROFESSIONAL  
CLEARING CORP.; TD SECURITIES,  
INC.; TD SECURITIES(USA) LLC;  
UBS FINANCIAL SERVICES, INC.;  
UBS SECURITIES CANADA, INC.;  
SOCIETE GENERALE CAPITALE  
CANADA, INC.; SG AMERICAS  
SECURITIES, LLC; and JOHN DOES  
1 THROUGH 10,

Defendants.

Case No. 1:21-cv-00761-LGS

Hon. Lorna G. Schofield

**NOTICE OF DEFENDANTS'  
MOTION TO DISMISS TO THE  
AMENDED COMPLAINT**

TO ALL PARTIES AND THEIR ATTORNEYS OF RECORD:

**PLEASE TAKE NOTICE** that, upon the accompanying Memorandum of Law in Support of Defendants' Motion to Dismiss the Amended Complaint, the Declarations of Christopher Climo, Gaylen Duncan, George Stratis, Lisa Petrelli, Benoit Carignan, the Notice of Motion, and such other and further papers and proceedings as may be filed or had, Defendants, by their counsel, will move this Court before the Honorable Lorna G. Schofield, United States District Judge, Thurgood Marshall United States Courthouse, 40 Foley Square, New York, New York 10007, at a time and date to be determined by this Court, for an Order dismissing Harrington Global Opportunity Fund, Limited's Amended Complaint with prejudice under

Federal Rule of Civil Procedure 12(b)(6) and as against the foreign defendants under Federal Rule of Civil Procedure 12(b)(2).

PLEASE TAKE FURTHER NOTICE that, pursuant to the Court's May 14, 2021 Order (ECF No. 66), opposition papers, if any, are to be filed by July 9, 2021, and reply papers, if any, are to be filed by July 26, 2021.

Dated: June 7, 2021

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